

## **ANNEXURE 1**

### **WFEO CAC LIMA EXECUTIVE COMMITTEE 2015-2016 REPORT**



**WORLD FEDERATION  
OF ENGINEERING  
ORGANIZATIONS**



**ENGINEERING COUNCIL OF ZIMBABWE**

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**WFEO  
Standing Committee on Anti-Corruption  
(WFEO-CAC)**

**Prepared in September 2016 for and on behalf of the CAC by**

**Eng. Martin Manuhwa**

**Committee Chairperson**



## 1.0 INTRODUCTION

This report to the General Assembly gives a brief outline of the activities that the Committee has performed from December 2015 to October 2016 and projects the plans for the year 2017. It also gives a brief background of the Committee as well as a strategic focus of the intended direction of its future. The Committee has been active in the field of training, workshops and conferences as well as capacity building in anti-corruption matters. We also present our SDGs commitments as a Committee.

## 2.0 EXECUTED AND PLANNED ACTIVITIES

This progress report will cover the activities of the 2015 to 2016.

- **Proposed new ISO 37001 international anti-bribery standard:**

- a) WFEO CAC and GIACC continued to work with the International Organisation for Standardization (ISO) to promote the development of the new ISO international anti-bribery standard, ISO 37001. The intention is that it will be a management systems standard and will be used alongside other quality, safety and environmental standards (e.g. 9001, 14001, 18001).
- b) The sixth ISO working group meeting was held in Mexico City in June 2016. It was attended by over 80 people from over 20 countries. The draft standard was debated over four days, and amendments were agreed. The outcome of this meeting was the final version of the Standard.
- c) The final version of the Standard was passed by vote of the Participating Countries on 23rd September 2016.
- d) The standard was published on 15th October 2016.

- **British Standards - Anti-Bribery Standard BS 10500:**

GIACC continued to support the promotion of the British anti-bribery standard, BS 10500 (published in 2011). Interest from UK business is increasing, and companies (both in the UK and other countries) are getting certified. When ISO 37001 is published, BS 10500 will be discontinued, and BSI will adopt ISO 37001.

- **Adoption of ISO 37001 by state owned enterprises in Tunisia:**

- a) Under Kamel Ayadi's leadership as Head of Public Service, Governance and Anti-Corruption in Tunisia, the Tunisian Government announced in July 2016 that Tunisian State Owned Enterprises would adopt and get independently certified to ISO 37001.
- b) The first SOE to commence this project is ATTT, the Tunisian SOE responsible for public transport. The project is being sponsored in partnership by the Tunisian Government and the UK Government, and is being led by a consulting firm, Adam Smith International. GIACC is providing resources to the project.

- **Anti-Corruption workshops and workshops:**

These have been done in Uganda (IACA, 2016), Portugal (Anti-Corruption training and Workshop December 2015), Tunisia, South Africa, Zambia and Zimbabwe. Neill Stansbury, Emilio Colon, Kamel Ayadi and Eng. Martin Manuhwa presented the workshop material on ISO37001 and Anti-corruption in Infrastructure in the Workshops.

- Close ties with Engineers Against Poverty and Construction Sector Transparency Initiative (COST) to discuss their intention to join the WFEO as a member of the CAC Committee have now reached advanced stages. We will be partnering them in some Africa Catalyst Projects.
- CAC attended the Malawi Institution of Engineers Conference from 1-3 September 2016. They persuaded the MIE to join WFEO, this is work in progress.
- The Committee Website was designed and is now on the WFEO main Website. The website is dynamic and is continuously being updated by the interactions of the Committee and the WFEO Secretariat in Paris.
- The Committee in collaboration with WFEO Capacity Building was involved in the hosting of the UNESCO Africa Engineering Week in various parts of Africa. The Committee Chair and secretary took part in the launch country activities for South Africa from 27-30 September 2016.
- The CAC Chair attended the World Future Energy Forum (WFEF) 2016 was convened by WFEO Committee on Energy in collaboration with Energy Internet Research Institute (EIRI), Tsinghua University on June 29, 2016 in Beijing, China, at the national conventional center. This event was co-organized by Chinese Society for Hydropower engineering (CSHE) and Hohai University, supported by Sungrow Power Supply Co., Ltd. We attended as part of WFEO strategy to support STCs in collaborations.
- The Committee is part of the MAIN UNESCO Africa Engineering Week in November 2016.

- **WORLD JUSTICE PROJECT** - The World Justice Forum V has been rescheduled for summer 2016, in collaboration with The Dickson Poon School of Law at King's College London. During the Forum, over 500 leaders from business, civil society, government, academia, the media, and more will gather to explore and share strategies for advancing the rule of law worldwide. Participants from more than 100 countries are expected to incubate new programs, exchange insights, collaborate on innovative rule of law scholarship and activities, and expand their global networks. The WFE0 CAC is a partner of the WJP and has participated in all their events including in the launches of the Rule of Law Index – the 2016 ROL index was launched in June in Washington DC.
- The Global Infrastructure Anti-corruption Centre (GIACC) has been our WFE0 CAC Committee training flagship and they have continued to develop training materials and resources, provide anti-corruption training workshops internationally, and make their training materials and resources available for use by WFE0.

### **GIACC Resource Centre**

- The major upgrade to GIACC's Resource Centre completed in February 2016 has resulted in increased usage.
- Since publication of the Resource Centre in May 2008, 98,437 different organisations/individuals from over 190 countries have visited 600,525 web-pages of the GIACC Resource Centre.
- Since publication of GIACC's detailed on-line training module for managers in September 2009, 4,790 people have completed the training module. The languages the training was completed in were:
  - English: 3,481
  - German: 526
  - Spanish: 309
  - French: 224
  - Romanian: 109
  - Polish: 87
  - Italian: 54
- In the six months ending 31.08.16, the Resource Centre has been visited by 13,568 different organisations/individuals (previous six months = 10,110), who have visited 46,966 web pages (previous six months = 39,781 pages).
- In the six months ending 31.08.16, visitors to the Resource Centre came from 170 countries. The top 30 countries were (in descending order by number of visits):

|                |             |
|----------------|-------------|
| Kenya          | Indonesia   |
| United States  | France      |
| South Africa   | Sudan       |
| United Kingdom | Nigeria     |
| India          | Hong Kong   |
| Canada         | South Korea |
| Malaysia       | China       |
| Philippines    | Colombia    |
| Australia      | Mexico      |
| Brazil         | Thailand    |
| Germany        | Ukraine     |
| Italy          | Pakistan    |
| Netherlands    | Spain       |
| Indonesia      | Ghana       |
| Singapore      | Mauritius   |

- The twenty five most popular Resource Centre pages visited during the six months ending 31.08.16 were:

|  |  |
|--|--|
| 1. Dealing with corruption: Organisations  | 14. Liability for corruption                           |
| 2. Why corruption occurs                   | 15. Due diligence                                      |
| 3. Why avoid corruption                    | 16. Compliance policy                                  |
| 4. What is corruption                      | 17. BS 10500   |
| 5. Dealing with corruption: Individuals    | 18. Facilitation payments                              |
| 6. Corporate anti-corruption programme     | 19. Professional Institution anti-corruption programme |
| 7. On-line anti-corruption training module | 20. Financial controls                                 |
| 8. ISO 37001                               | 21. Procurement  |
| 9. How corruption occurs                   | 22. Risk assessment                                    |
| 10. Contract terms                         | 23. Government anti-corruption programme               |
| 11. Project Anti-Corruption System         | 24. Anti-corruption training                           |
| 12. Gifts and hospitality                  | 25. Indices and surveys                                |
| 13. Cost of corruption                     |  |

### 3.0 INTERNATIONAL ALLIANCES AND PRESENCE

WFEO encourages all of its international and national members to contribute to global efforts to establish a sustainable, equitable and peaceful world by providing an international perspective and enabling mechanisms. To this end our committee is in contact with national members and other international organizations. Several anti-corruption initiatives have been established in the international construction and engineering industry namely:

- 3.1** ACET - Global Anti-Corruption Education & Training Project.
- 3.2** CIECI - Construction Industry Ethics & Compliance Initiative.
- 3.3** CoST - Construction Sector Transparency Initiative.
- 3.4** FIDIC - International Federation of Consulting Engineers.
- 3.5** GIACC - Global Infrastructure Anti-Corruption Centre.
- 3.6** UK Anti-Corruption Forum.
- 3.7** WEF PACI - World Economic Forum – Partnering against Corruption Initiative.
- 3.8** UNESCO – Africa Engineering Week and Ethics in Education ETICO program has agreed to work with the Committee on Ethics Curricula in Engineering and other activities.
- 3.9** ISO – ISO37001 Anti-bribery Standard.

Good partnerships have been developed with the World Justice project, UNDP, Foundation for the Future, and Ministry of Foreign Affairs of the Netherlands, World Bank, GIACC, ISO and ACET. These partnerships are effective and have led to on the ground programs. Alliances and partnership are crucial in addressing corruption. The committee has joined a large number of initiatives initiated by international organisations to address corruption.

### 4.0 CAC STRATEGY AND PERFORMANCE EVALUATION OF PROGRESS

Our vision is to promote zero tolerance to corruption and to advocate for the eradication of corrupt practices in engineering projects and practice through the enforcement of sound management systems and ethical professional practice.

Our Mission Statement is to execute a thematic, results-oriented program for 2014-2017 that raises ethics and corruption prevention awareness and increases the understanding of the global, regional, engineering and policy issues and solutions for the combating of corruption in infrastructure and other vital services.

The STC Activities as based on the themes indicated earlier in the Strategic Plan are as follows:

- Encouraging and supporting CAC theme leaders to organize international webinars, workshops and seminars related to their work within the strategic plan.
- Developing and promoting Anti-corruption policies, strategies and practices to combat corruption.
- Drafting practice guidelines and policies related to Anti-Corruption for the consideration and approval of the WFEO Executive Council and WFEO members. The Committee has developed WFEO suite of Anti-Corruption policies that have been adopted by the last General Assembly and is now part of the ISO Anti-Bribery Standard ISO 37001 development team.
- Participating as the WFEO representative in the UN System and representing WFEO at meetings relating to corruption and professional practices.
- Representing the CAC as one of the WFEO delegates on issues to do with ethics, professional practice and anti-corruption. Several meetings are lined up for anti-corruption awareness at WFEO Executive Committee and other gatherings.
- Communicating to the WFEO and the international community on the work of the CAC. This is done through various media including WFEO and GIACC Web-sites and articles in various WFEO member newsletters about our activities are being published online.

### 5.0 CAC MILESTONES AND LESSONS LEARNED

We have learnt a lot of lessons on how to handle apathy by National Members one key one being that we must always ensure there are Ethics and Transparency Workshops and Presentations at every National Member's Annual Event or Conference. Our overall framework of strategic monitoring and evaluation will be based on the criteria given below.



**Figure 1: Key Milestones 2013-2015**

The Committee has put in place the following Structure with Regional Sub-Committees so as to effectively spread its activities across the globe to reflect WFEO's Vision of Zero Tolerance to Corruption in Infrastructure Development. The regions are (i) Europe, (ii) North and South America (iii) Asia, Australia and New Zealand (iv) Africa and Middle East.

## 6.0 PROJECTIONS FOR 2017 AND BEYOND

### • **ANTI-BRIBERY CERTIFICATION FOR WFEO AND ITS NATIONAL MEMBERS – ISO 37001**

Dealing with corruption and bribery should be WFEO's top priority. In the new age of tougher enforcement and peer-centred lack of tolerance of corruption and bribery, WFEO has the opportunity to show leadership. WFEO could be the first of the engineering organisations to have certified Anti-bribery Management System in place for its operations. This would demonstrate its commitment to good governance and business ethics.

Steps for WFEO to follow:

1. WFEO adopts an Anti-Corruption and bribery policy at the next General Assembly.
2. WFEO becomes certified under ISO 37001 once it is approved. ISO 37001 is based on BS 10500
3. WFEO promotes that all of its members become certified under ISO 37001.

This proposal was presented to the WFEO EXCO and the EX-BOARD we await their guidance on this issue.

### • **CREATION OF A GUIDE CODE OF BEST PRACTICE OF PROCUREMENT SYSTEMS FOR WFEO NATIONAL MEMBERS.**

A sub-committee has been set up and is working on this project. They will present a progress report. Members are required to send the procurement systems in place in their Countries. This will be used as a basis for the proposed guide code of best practice of procurement systems for WFEO.

### • **Training on Anti-corruption jointly with the World Bank, FIDIC and other partners.**

### • **The committee is committed to WFEO investigation procedures whose purpose and scope is to ensure that:**

- Any person standing for or holding any executive office within WFEO is a suitable person for such office, and acts in accordance with the highest standards, and
- WFEO correctly follows its Constitution and Rules of Procedure i.e. any complaints against any such person, or any breach of the Constitution and Rules of Procedure, can be appropriately reported, investigated and dealt with.

- **The committee is committed to the SDGs below and are currently developing metrics and projects to contribute to their achievements:**

- a) Corruption is cross cutting and CAC has focused on the SDGs listed below.

Goal 1- No Poverty

Goal 2 – Zero Hunger

Goal 3 – Good Health and Well Being

Goal 4 – Quality Education

Goal 10 – Reduced inequalities

Goal 13 – Climate Action

Goal 17 – Partnerships for the Goals

- b) These will be integrated into our action plans as activities and we will train on the links of the SDGs with Anti-corruption systems as below.

**CAC- Delivery of the Agenda 2030 requires us to have an Action Oriented WFEO which plans for the future!**



**We have prioritised the above SDGs and are preparing a logframe of the Committee interventions in line with our strategy and resources.**

**We expect other STCs to do the same such that we will have the full coverage of all the 17 Goals and the 169 targets as WFEO.**

05/07/2016

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## 6.0 CONCLUSION

Corruption kills as it depletes resources meant for economic development. It occurs throughout the project life cycle and can affect all the listed topics; our committee proposes the use of anti-corruption systems in projects to combat corruption. The proposed projects will go a long way to add to the Anti-corruption agenda of WFEO. Zimbabwe will push the projects below during its second term of the standing committee hosting.