Date: 23 August 2022

Liaison activity report from ISO/TC 309 Governance of organizations

Latest Highlights


Standards currently under development:

- ISO/NP 37003 Fraud Control Management Systems – Guidance for organizations responding to the risk of fraud (under Working Group 8).
- ISO 37004 Governance Maturity Model (under WG6)
- ISO 37006 Indicators of Effective Organizational Governance: Guidance (under WG1)
- ISO 37007 Corporate Governance – Guidelines for efficiency measurement (under WG5)
- ISO 37009 Conflicts of interest – Guidelines is currently under new work item ballot, until 20th October.

Website: https://committee.iso.org/home/tc309

1 Title and Scope

The title and scope of the committee were established at the first meeting of the committee in November 2016, and confirmed by TMB resolution 141/2016:

**Title**: Governance of organizations.

**Scope**: Standardization in the field of governance relating to aspects of direction, control and accountability of organizations.

2 Chair and Committee Manager

**Chair** Kev Brear, United Kingdom

**Vice Chair (Twin)** Axel Kravatzky, Trinidad & Tobago
3. Membership (as at 24 March 2022)

3.1 P and O Members

<table>
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<tr>
<th>Participating Countries 53</th>
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<tbody>
<tr>
<td>Argentina</td>
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<td>Korea, Republic of</td>
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<th>Observing Countries 24</th>
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<td>Algeria</td>
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<td>Gabon</td>
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<td>Greece</td>
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3.2 Internal liaisons

TC309 can access the documents of the following committees:

ISO/CASCO Committee on conformity assessment
ISO/IEC JTC 1/SC 27 Information security, cybersecurity and privacy protection
ISO/IEC JTC 1/SC 40 IT service management and IT governance
ISO/IEC JTC 1/SC 42 Artificial intelligence
ISO/TC 46/SC 11 Archives/records management
ISO/TC 176 Quality management and quality assurance
ISO/TC 207 Environmental management
ISO/TC 207/SC 1 Environmental management systems
ISO/TC 207/SC 2 Environmental auditing and related environmental investigations
ISO/TC 224 Service activities relating to drinking water supply, wastewater and stormwater systems

ISO/TC 251 Asset management
ISO/TC 258 Project, programme and portfolio management
ISO/TC 260 Human resource management
ISO/TC 262 Risk management
ISO/TC 268 Sustainable cities and communities
ISO/TC 283 Occupational health and safety management
ISO/TC 286 Collaborative business relationship management
ISO/TC 292 Security and resilience
ISO/TC 307 Blockchain and distributed ledger technologies
ISO/TC 322 Sustainable finance
ISO/PC 337 Guidelines for the promotion and implementation of gender equality

TC 309 is also represented on the ISO Climate Change Coordination Committee (CCCC)

The following committees can access the documents of TC 309
ISO/CASCO Committee on conformity assessment
ISO/IEC JTC 1/SC 27 Information security, cybersecurity and privacy protection
ISO/IEC JTC 1/SC 40 IT Service Management and IT Governance
ISO/IEC JTC 1/SC 42 Artificial intelligence
ISO/IEC 46/SC 11 Archives/records management
ISO/TC 68 Financial services
ISO/TC 176 Quality management and quality assurance
ISO/TC 176/SC 1 Concepts and terminology
ISO/TC 207 Environmental management
ISO/TC 207/SC 1 Environmental management systems
ISO/TC 207/SC 2 Environmental auditing and related environmental investigations
ISO/TC 224 Service activities relating to drinking water supply, wastewater and stormwater systems
ISO/TC 262 Risk management
ISO/TC 283 Occupational health and safety management
ISO/TC 286 Collaborative business relationship management
ISO/TC 307 Blockchain and distributed ledger technologies
ISO/TC 322 Sustainable finance
ISO/TC 324 Sharing economy
ISO/PC 337 Guidelines for the promotion and implementation of gender equality

3.3 External liaisons

ACCA Association of Chartered Certified Accountants A
ACi Association of Corporate Investigators A
EBRD European Bank for Reconstruction and Development A
ecoDa European Confederation of Directors Association A
ETUC the European Trade Union Confederation A
FIEC European Construction Industry Federation A
Govern for Impact International Policy Governance Association A
IBE Institute of Business Ethics A
ICGN International Corporate Governance Network A
4. Working Groups, Ad Hoc Groups and Advisory Group

<table>
<thead>
<tr>
<th>Subcommittee/Working Group title</th>
<th>Purpose</th>
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</thead>
<tbody>
<tr>
<td>Working Group 1 Guidance for the Governance of Organizations</td>
<td>WG established to develop:</td>
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<tr>
<td></td>
<td>▶ ISO 37000 <em>Guidance for the Governance of Organizations</em>.</td>
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<td></td>
<td>▶ ISO 37005 <em>Selecting, Creating and Using Indicators – Guidance for Governing Bodies</em></td>
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<td></td>
<td>▶ ISO 37006 <em>Indicators of Effective Organizational Governance – Guidance</em></td>
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<tr>
<td>Working Group 2 Anti-Bribery Management Systems</td>
<td>The WG established to</td>
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<td></td>
<td>▶ Develop ISO 37001 <em>Practical Guide</em> (published June 2021)</td>
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<td></td>
<td>▶ Consider post-publication issues relating to ISO 37001:2016, in cooperation with the TC309 AGCE.</td>
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<tr>
<td>Working Group 3 Whistleblowing</td>
<td>WG3 established to develop ISO 37002 <em>Whistleblowing Management Systems – Guidelines</em>.</td>
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<tr>
<td>Working Group 5 Guidelines for efficiency measurement</td>
<td>WG5 established to develop ISO 37007 <em>Corporate Governance – guidelines for efficiency measurement</em></td>
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<tr>
<td>Working Group 6 Governance Maturity Model</td>
<td>WG6 established to develop ISO 37004 <em>Governance Maturity Model</em></td>
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<tr>
<td>Working Group 7 Internal Investigations</td>
<td>WG6 established to develop ISO TS 37008 <em>Internal Investigations of Organizations – Guidance</em></td>
</tr>
<tr>
<td>Working Group 8 Fraud controls</td>
<td>WG8 established to develop ISO 37003 <em>Fraud Control Management Systems – Guidance for organizations</em>.</td>
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</tbody>
</table>
Responding to the risk of fraud

Advisory Group Communications and Engagement (AGCE)

Established to address the communications and engagement issues around its standards, including the development of the ISO/TC 309 website.

Chair’s Advisory Group (CAG)

Advisory function to assist TC leadership, planning and steering of TC309’s work, including review of liaisons.

Developing Countries Co-ordination Group

The DCCG focuses on the current and future standardization needs of developing countries. This includes:
- sharing best practices and resources among TC 309 developing countries to identify their standardization needs and priorities
- exploring possible resourcing partnerships,
- advising on developing country issues on current and future standardization within TC309
- considering sponsorship options available to developing countries to participate in development of TC309 standards
- use of twinning as a means to build capacity and enhance participation.

Task Group 6 Terminology Co-ordination

TG6 will provide an oversight of how terminology is being used not only in TC309 standards but also in related standards being developed by other committees. Also provides input to the revision to Annex SL High Level Structure.

Spanish Translation Task Force

To develop translations of TC309 Standards and other support material.

5. Projects published by this Committee

<table>
<thead>
<tr>
<th>ISO 37000:2021 Guidance for Governance of Organizations</th>
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<tbody>
<tr>
<td>Published 13 September 2021.</td>
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<tr>
<th>ISO 37001:2016 Anti-bribery management systems -- Requirements with guidance for use</th>
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<tr>
<th>ISO 37001:2016 Anti-bribery management systems – A practical guide</th>
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<td>Published 1 June 2021. See TC 309 website.</td>
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<th>ISO 37002:2021 Whistleblowing management systems – Guidelines</th>
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<td>Published 26 July 2021.</td>
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<tr>
<th>ISO 37301:2021 Compliance management systems – Requirements with guidance for use</th>
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<td>Published 13 April 2021.</td>
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### 6. Projects under development in this Committee

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<tr>
<td>ISO 37006:202X Indicators of Effective Organizational Governance: Guidance (WG1)</td>
<td>NWI Approved July 2021. WD under development.</td>
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### 7. Meetings

Plenary meetings of ISO/TC 309 have been held:

1) London, UK, November 2016
2) Quebec City, Canada, May 2017
3) Shenzhen, China, November 2017
4) Sydney, Australia, 1-8 November 2018
5) New Delhi, India, 3-9 November 2019
6) Zoom virtual meeting, 6 November 2020
7) Zoom virtual meeting, 24 November 2021
8) Zoom virtual meeting, 3 November 2022

This committee contributes with six standards to the following UN Sustainable Development Goals:

- 8 Decent Work and Economic Growth
- 9 Industry, Innovation and Infrastructure
- 10 Reduced Inequality
- 11 Sustainable Cities and Communities
- 16 Peace, Justice and Strong Institutions